Under Paperwork Reduction Act of 1995. no personal TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission	U.S. Pater ons are required to respond to a collection Application Number Filling Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	Approved for use through 07/31/2006. OMB 0651-0031 at and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. 10/797,426 March 10, 2004 Michael P. Remington, Jr. et al.
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Repty Brief) Proprietary Information
Firm or Individual name Mark A. Hixon, Reg. No. 44,766 Signature Date CERTIF I hereby certify that this correspondence is being face		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents Trademarks, Washington, D.C. 20231, on 06/03/2004.

Roberta A. Winzeler

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(signature)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Aj	oplication of:]	
Michae	l P. Remington, Jr., et al.]	
]	
Serial N	No. 10/797,426]	
]	
Filed: N	March 10, 2004]	
]	
For:	METHOD FOR DEPOSITING]	
	ALUMINUM OXIDE COATINGS]	
	ON FLAT GLASS]	Attorney Docket: 1-16280

June 3, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>INFORMATION DISCLOSURE STATEMENT</u>

Honorable Sir:

Pursuant to Sections 1.97 and 1.98 of Title 37 of the Code of Federal Regulations, record is hereby made of published art which the United States Patent and Trademark Office may wish to consider during examination of the above-entitled application. No representation is made or intended that a prior art search was conducted, or that no better art than that listed is available.

Form PTO/SB/08A, which lists the documents is enclosed herewith. Other than any U.S. patents identified, a copy of each item is enclosed. It is requested that each be fully considered and made of record in this case.

As this Information Disclosure Statement is being filed after three months from the filing date of this application, and prior to the mailing date of a first Office Action on the merits, it is submitted that no prior art consideration fee is required.

Respectfully submitted,

Mark A. Hixon

Registration No. 44,766

ATTORNEYS

Marshall & Melhorn, LLC Four SeaGate - 8th Floor Toledo, Ohio 43604 (419) 249-7100

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/797,426			
Filing Date	March 10, 2004			
First Named Inventor	Michael P. Remington			
Art Unit				
Examiner Name				
Attorney Docket Number	1-16280			

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (* known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
•		^{US-} 2,805,965	09-10-1957	P. Robinson	Whole document
		^{US-} 4,129,434	12-12-1978	E. Plumat, et al.	Whole document
		^{US-} 4,160,061	07-03-1979	S. Okino, et al.	Whole document
		^{US-} 5,374,570	12-20-1994	Y. Nasu, et al.	Whole document
		^{US-} 5,648,113	07-15-1997	S.G. Barbee, et al.	Whole document
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	reign Patent Document Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°		
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Examiner		Date	-
Signature	;	Considered	
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					Complete if Known	
	Substitute for form	1449/	VPTO	Application Number	10/797,426	
				Filing Date	March 10, 2004	
INFORMATION DISCLOSURE				First Named Inventor	Michael P. Remington	
STATEMENT BY APPLICANT		Group Art Unit				
(u:	se as many sheets	as ne	cessary)_	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	1-16280	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	Т
Initials*	No. ¹	Item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
		SILVESTRI, et al., Properties of Al ₂ O ₃ Films Deposited from the AlCl ₃ , CO ₂ , and H ₂	
		System, J. Electrochem. Soc.: - SOLID-STATE SCIENCE AND TECHNOLOGY, June	
		1978, Vol. 125, pp. 902-907.	:
		PARK, et al., The effects of reaction parameters on the deposition characteristics in	
		Al ₂ O ₃ CVD, J. Vac. Sci. Technol. A, Vol. 1, No. 4, OctDec. 1983, pp. 1820-1824	
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Examiner	Date	
Signature	Considered	

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